

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : (To be assigned) Confirmation No. : (To be assigned)  
First Named Inventor : Dieter BIEKER  
Filed : March 22, 2005  
TC/A.U. : (To be assigned)  
Examiner : (To be assigned)

Docket No. : 037068.55840US  
Customer No. : 23911

Title : Pneumatically Actuated Disc Brake Comprising an  
Adjustment Device

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

Copies of the references AB-AD as listed on the attached Form PTO-1449 have already been provided by the International Searching Authority, therefore, duplicate copies are not attached hereto. Applicants enclose herewith a copy of a corresponding foreign Search Report citing such documents indicating the degree of relevance found by the foreign office and a PTO-1449 Form listing those references such that they may be listed on the face of any resulting patent.

English abstracts summarizing the disclosures of the German publications are submitted herewith.

An English abstract of German Patent Document No. 39 41 540 is unavailable. However, submitted herewith is the corresponding Abstract of GB counterpart GB 2239067.

An English abstract of Swiss Patent Document No. 584 366 is also unavailable. However, submitted herewith is the corresponding Abstract of GB counterpart GB1494961.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

If necessary, the paper should be considered as a petition for consideration of the Information Disclosure Statement under 37 C.F.R. §1.97(d)(2) and that the

petition fee set forth in 37 C.F.R. §1.17(i) in accordance with 37 C.F.R. §1.97(d)(3) should be charged to Deposit Account No. 05-1323 (Docket #037068.55840US).

Respectfully submitted,

March 22, 2005



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JDS:pct

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Substitute for form 1449A/PTO  <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p>			<h3 style="text-align: center;">Complete if Known</h3> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;">(To be assigned) 10/528761</td> </tr> <tr> <td>Filing Date</td> <td>March 22, 2005</td> </tr> <tr> <td>First Named Inventor</td> <td>Dieter BIEKER</td> </tr> <tr> <td>Art Unit</td> <td><del>(To be assigned)</del> 3683</td> </tr> <tr> <td>Examiner Name</td> <td><del>(To be assigned)</del> Rodriguez</td> </tr> <tr> <td>Attorney Docket Number</td> <td>037068.558405</td> </tr> </table>		Application Number	(To be assigned) 10/528761	Filing Date	March 22, 2005	First Named Inventor	Dieter BIEKER	Art Unit	<del>(To be assigned)</del> 3683	Examiner Name	<del>(To be assigned)</del> Rodriguez	Attorney Docket Number	037068.558405
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Sheet	1	of	1													

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
/PR/	AA	US- 3,550,728		12-29-1970	Seip et al.	
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
/PR/	AB	EP 0 566 006	10-20-1993	Knorr-Bremse AG		
/PR/	AC	EP 0 688 404	12-27-1995	Knorr-Bremse Systeme fuer Nutzfahrzeuge GmbH		
/PR/	AD	DE 197 56 519	10-15-1998	Knorr-Bremse Systeme fuer Nutzfahrzeuge GmbH		
/PR/	AE	DE 28 37 142	3-15-1979	DBA Bendix Lockheed Air Equipment S.A.		
/PR/	AF	DE 33 48 359	4-18-1985	Alfred Teves GmbH		
/PR/	AG	DE 39 41 540	6-20-1991	Deutsche Perrot-Bremse GmbH		
/PR/	AH	DE 101 39 913	09-19-2002	Knorr-Bremse Systeme fuer Nutzfahrzeuge GmbH		
/PR/	AI	DE 196 04 402	8-14-1997	ITT Automotive Europe GmbH		
/PR/	AJ	CH 584 366	1-31-1977	Knorr-Bremse GmbH		

Examiner Signature	/Pamela Rodriguez/ (07/02/2008)	Date Considered	07/02/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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